Incidental Paper

Seminar on Command, Control, Communications, and Intelligence

Guest Presentations, Spring 1988:

Rae M. Huffstutler, Richard L. Thornburgh, James R. Locher, III, Robert T. Herres, John F. McLaughlin, Jerry O. Tuttle, Earl F. Lockwood, Robert C. Kingston, Frank J. Breth, Ruth M. Davis

Program on Information Resources Policy

Harvard University Center for Information Policy Research Cambridge, Massachusetts An incidental paper of the Program on Information Resources Policy

Seminar on Command, Control, Communications, and Intelligence Spring 1988

Guest Presentations by:

Rae M. Huffstutler, Richard L. Thornburgh, James R. Locher, III, Robert T. Herres, John F. McLaughlin, Jerry O. Tuttle, Earl F. Lockwood, Robert C. Kingston, Frank J. Breth, Ruth M. Davis

I-89-1. March 1989

Project Director:

John F. McLaughlin

The Program on Information Resources Policy is jointly sponsored by Harvard University and the Center for Information Policy Research.

Chairman: Anthony G. Oettinger
Managing Director: John C. LeGates
Executive Director: John F. McLaughlin
Executive Director: Oswald H. Ganley

Incidental papers have not undergone the reviewing process the Program requires for formal publication. Nonetheless the Program considers them to merit distribution.

Copyright © 1989 by the President and Fellows of Harvard College. Not to be reproduced in any form without written consent from the Program on Information Resources Policy, Harvard University, 200 Aiken, Cambridge, MA 02138. (617) 495-4114. Printed in the United States of America.

PROGRAM ON INFORMATION RESOURCES POLICY Harvard University Center for Information Policy Research

Affiliates

Action for Children's Television American Telephone & Telegraph Co.

Ameritech Publishing

Anderson, Benjamin, Read &

Haney, Inc.

Apple Computer, Inc. Arthur D. Little, Inc.

Auerbach Publishers, Inc.

Bell Atlantic

BellSouth Corporation

Booz-Allen & Hamilton, Inc.

Bull, S. A. (France) Centel Corporation

CMC Limited (India)

Commission of the European Communities

Communications Workers of America

Computer & Communications Industry
Assoc.

Computer Intelligence

Continental Graphics Corp.

Coopers and Lybrand Copley Newspapers

Data Communications Corp. of Korea

Department of Communication (Australia)

Dialog Information Services, Inc.

Direction Générale

des Télécommunications (France)

Dow Jones & Co., Inc.

Dun & Bradstreet

Gannett Co., Inc.

Gartner Group, Inc.

GTE Corporation

Hitachi Research Institute (Japan)

Honeywell, Inc.

IBM Corp.

Information Gatekeepers, Inc.

Information Industry Association

Interconsult

International Data Corp.

International Resource Development, Inc.

Invoco AB Gunnar Bergvall (Sweden)

Knowledge Industry Publications, Inc.

Korean Information Society

Development Institute

Lee Enterprises, Inc.

John and Mary R. Markle Foundation

MCI Telecommunications, Inc.

McKinsey & Co., Inc.

Mead Data Central

MITRE Corp.

National Telephone Cooperative Assoc.

The New York Times Co.

NEC Corp. (Japan)

Nippon Telegraph & Telephone Corp.

(Japan

Northern Telecom Ltd. (Canada)

Nova Systems, Inc.

NYNEX

The Overseas Telecommunications

Commission (Australia)

Pacific Telesis Group

Pitney Bowes, Inc.

Public Agenda Foundation

Research Institute of Telecommunications

and Economics (Japan)

RESEAU (Italy)

Rizzoli Corriere della Sera (Italy)

Saint Phalle International Group

Salomon Brothers

Scaife Family Charitable Trusts

SEAT S.P.A. (Italy)

Southern New England

Telecommunications Corp.

State of California Public Utilities

Commission

State of Minnesota Funding

TEKNIBANK S.P.A. (Italy)

Telecommunications Research

Action Center (TRAC)

Tele/Scope Networks, Inc.

Third Class Mail Association

Times Mirror Co.

United States Government:

Department of Commerce

National Telecommunications and

Information Administration

Department of Defense

National Defense University

Department of Health and Human

Services

National Library of Medicine

Department of State

Office of Communications

Federal Communications Commission

General Services Administration

National Aeronautics and Space

Administration

National Security Agency

U.S. General Accounting Office

United States Postal Rate Commission

United Telecommunications, Inc.

US West

Acknowledgments

For their willingness to travel to Cambridge to share their experience with our students at the Kennedy School of Government we are deeply grateful to Rae M. Huffstutler, Richard L. Thornburgh, James R. Locher, III, Robert T. Herres, Jerry O. Tuttle, Earl F. Lockwood, Robert C. Kingston, Frank J. Breth, and Ruth M. Davis.

The collaboration of The MITRE Corporation made this publication possible. Robert Everett's initial interest in the seminar and John Jacobs' continuing participation from its inception to the present provided encouragement at critical times and constant intellectual stimulation. John Jacobs and Charles Zraket arranged for editorial and production support from MITRE's Publications and Graphics Department and Word Processing Center.

We also wish to thank Stephen Sutton for his logistical and intellectual support.

The responsibility for any sins of omission or commission nonetheless remains entirely ours.

John F. McLaughlin Anthony G. Oettinger

Introduction

The papers in this volume are lightly edited transcripts of presentations and discussions ordered in the sequence in which they took place. The informality of oral exposition interrupted by questions or comments has been preserved. Only pauses and repetitions have been eliminated and tripping sentences have been smoothed. Substance has, as far as possible, been left unaltered. All guests made their presentations in open forum, forewarned that neither classified nor proprietary matters are appropriate in a university classroom.

While the speakers for this year's seminar addressed a variety of topics, two themes predominated. The first of these was the reorganization of the U.S. Department of Defense in accordance with the Goldwater-Nichols Defense Reorganization Act of 1986. The second theme was the role of Special Operations Forces and Low Intensity Conflict (SOF/LIC) in defense planning and organization.

During the 1988 seminars we were joined on a regular basis by James Croke of The MITRE Corporation and Professor Frank Snyder of the U.S. Naval War College.

	•
	•
	-

Proceedings of the Harvard Seminar on Intelligence, Command, and Control

John F. Kennedy School of Government Harvard University

Anthony G. Oettinger, Professor of Information Resources Policy, and John F. McLaughlin, Executive Director of the Program on Information Resources Policy, have had a continuing interest in the ways in which institutions draw on systems of people, policies, and technologies to gather and use information for survival and growth. Since 1980 their graduate seminar on intelligence, command, and control has explored this topic with a series of guest speakers from military organizations, civil government, and businesses. While the guest speakers tend to be practitioners of the decision-making process, some are theoreticians who attempt to see patterns in the chaos of day-to-day crises. Taken as a whole, the eight-volume series chronicles the recent history of this field.

The following descriptions of previous volumes identify the speakers' roles at the time of their presentations.

Guest Presentations, Spring 1987 [192 pages; May 1988/Incidental Paper: I-88-1] Speakers explored the information requirements of national, military, and business organizations at a time of technological and organizational change in the military and civilian worlds. The reorganization of the U.S. Department of Defense in accordance with the Goldwater-Nichols Defense Reorganization Act of 1986 was the occasion for analysis and re-evaluation.

Archie D. Barrett, professional staff, House Armed Services Committee, formerly military staff assistant to the Executive Secretary of the Defense Organization Study: "Defense Reorganization: A View from the House."

Robert L. DeGross, provost, Defense Intelligence College: "Teaching Intelligence."

Fred R. Demech, Jr., captain, U.S. Navy, formerly executive director, the President's Foreign Intelligence Advisory Board: "Making Intelligence Better."

Greg Foster, senior fellow, Institute for National Strategic Studies, National Defense University: "The National Defense University's Command and Control Program."

James R. Locher, III, professional staff, Subcommittee on Projection Forces and Regional Defense, Senate Committee on Armed Services: "Defense Reorganization: A View from the Senate."

George C. Lodge, professor, Harvard Graduate School of Business Administration, formerly director of information, U.S. Department of Labor and Assistant Secretary of Labor for International Affairs: "Ideology and National Competitiveness."

Eugene B. Lotochinski, vice president, market development, Northern Telecom Inc.: "The Information Management Marketplace."

Rodney B. McDaniel, executive secretary, National Security Council: "C³I: A National Security Council Perspective."

Michael J. Zak, vice president, marketing, Concord Communications, Inc.: "Coming of Age in C3L."

Guest Presentations, Spring 1986. [168 pages; February 1987/Incidental Paper: I-87-1] The speakers this year addressed a variety of topics, with two predominant themes. The first was the manner in which organizations—military, diplomatic, business—obtain and use intelligence. The second theme was how organizations protect information from the growing threat of electronic interception.

Robert Conley, formerly Deputy Assistant Secretary for Advanced Technology and Analysis and Acting Assistant Secretary for Electronic Systems and Information Technology, Department of the Treasury: "Data Security in the Information Age."

Harold Daniels, Deputy Director for Information Security at the National Security Agency, formerly Assistant Deputy Director for Communications Security: "The Role of the National Security Agency in Command, Control and Communications."

John Grimes, Director of National Security Telecommunications and Director of Defense Programs (C3) of the National Security Council: "Information Technologies and Multinational Corporations."

Bobby R. Inman, president and chief executive officer, Microelectronics and Computer Technology Corporation, formerly Director of the Office of Naval Intelligence, Director of the National Security Agency, and Deputy Director of Central Intelligence: "Technological Innovation and the Cost of Change."

Richard J. Levine, editorial director, data base publishing, Dow Jones & Company: "Data Base Publishing for Business Intelligence."

Mark Lowenthal, acting director, Office of Strategic Forces Analysis, Bureau of Intelligence and Research, Department of State: "The Quest for 'Good' Intelligence."

Clarence E. McKnight, Director for Command, Control, and Communications Systems, Organization of the Joint Chiefs of Staff, formerly Commanding General, U.S. Army Communications Commmand: "C3I Systems at the Joint Level."

Lionel Olmer, formerly Under Secretary for International Trade, U.S. Department of Commerce, heading the International Trade Administration; currently member of Paul, Weiss, Rifkind, Wharton & Garrison, an international law firm: "Intelligence Techniques for the American Business Community."

Guest Presentations, Spring 1985. [180 pages; April 1986/Incidental Paper: I-86-1] These presentations examined the link between defense organization and the efficacy of command, control, communications and intelligence, within the context of current debate over reorganization of the Joint Chiefs of Staff.

Archie D. Barrett, professional staff, House Armed Services Committee, formerly military staff assistant to the Executive Secretary of the Defense Organization Study: "Politics and the Military—The Climate for Reform."

Richard D. DeLauer, president, Orion Group Limited, formerly Under Secretary of Defense for Research and Engineering: "A Consultant's View."

Lincoln Faurer, formerly Director of the National Security Agency and Chief, Central Security Service, Fort Meade, Maryland: "The Role of Intelligence Within C3I."

Robert T. Herres, Commander in Chief, unified U.S. Space Command, Aerospace Defense Command, and North American Aerospace Defense Command, and Commander of the Air Force Space Command: "A CINC's View of Defense Organization."

Robert Hilton, consultant specializing in national and international security affairs and political risk analysis; formerly Vice Director for Operations, Joint Chiefs of Staff: "Roles of the Joint Chiefs of Staff in Crisis Management."

Samuel P. Huntington, director, Center for International Affairs, Harvard University, formerly Coordinator of Security Planning for the National Security Council: "Centralization of Authority in Defense Organizations."

Donald Latham, Assistant Secretary of Defense, C3I: "A View from Inside OSD."

Richard G. Stilwell, chairman, Department of Defense Security Review Commission; formerly Deputy Under Secretary of Defense for Policy: "Structure and Mechanisms for Command and Control."

Guest Presentations, Spring 1984. [125 pages; February 1985/Incidental Paper: I-85-2] These presentations explored the roles of communication, information, and warning systems in peacekeeping, crisis management, and economic security.

Richard S. Beal, until his death in 1984, Special Assistant to the President for National Security Affairs and Senior Director for Crisis Management Systems and Planning: "Decision Making, Crisis Management, Information and Technology."

Stuart E. Branch, Deputy Assistant Secretary for Communications in the Department of State and member, National Communications System and U.S. Communications Security Board of the National Security Council: "C3I and Crisis Management."

Leo Cherne, executive director, Research Institute of America: "Television News and the National Interest."

Hubert L. Kertz, formerly president and managing director, American Bell International, Inc., with Anthony G. Oettinger: "With AT&T in Iran."

David McManis, National Intelligence Officer for Warning and Director, National Warning Staff: "Warning as a Peacekeeping Mechanism."

Robert A. Rosenberg, Vice Commander in Chief for the North American Aerospace Defense Command and Assistant Vice Commander for the U.S. Air Force Space Command: "Strategic Defense: A Challenge for C3I."

James W. Stansberry, formerly Commander of the Air Force Electronic Systems Division: "Cost-Effective Rearmament."

W. Scott Thompson, director of programs for the American Security Council Foundation and president, Strategic Research Associates: "U.S. - U.S.S.R. Information Competition."

(The seminar was not held during 1983.)

Guest Presentations, Spring 1982. [181 pages; December 1982/Incidental Paper: I-82-3] This series of C³I sessions emphasized national security issues, exploring policy and planning as well as procurement and implementation perspectives. Guests discussed not only the impact within the defense community of rapidly expanding technology but also the public consequences—national and international—of intelligence gathering and use.

Richard D. DeLauer, Under Secretary of Defense for Research and Engineering: "The View from the Hot Seat."

Hillman Dickinson, Director for Command, Control and Communications Systems, Joint Chiefs of Staff: "Planning for Defense-Wide Command and Control."

Gerald P. Dinneen, corporate vice president, Science and Technology, Honeywell, Inc., formerly Assistant Secretary of Defense for Communications, Command, and Control, and Intelligence: "C3 Priorities."

Richard H. Ellis, formerly commander in chief, Strategic Air Command: "Strategic Connectivity."

R. Thomas Marsh, commander, Air Force Systems Command: "Air Force C3I Systems."

Thomas H. McMullen, deputy commander, Tactical Air Command: "A Tactical Commander's View of C3I."

William G. Miller, associate dean and professor of international politics, Fletcher School of Law and Diplomacy, Tufts University: "Foreign Affairs, Diplomacy and Intelligence."

Richard G. Stilwell, Deputy Under Secretary of Defense for Policy: "Policy and National Command."

Guest Presentations, Spring 1981. [214 pages; December 1981/Incidental Paper: I-81-9] The perspective in this volume encompasses the Congress, combatant commands, defense contractors, and technological innovators. As part of an ongoing open forum on C3I, the sessions brought together the intersecting vantage points of industrial suppliers and innovators, government funders and decision makers, and principals in military intelligence.

William O. Baker, formerly chairman, Bell Laboratories, Inc.: "The Convergence of C3I Techniques and Technology."

John H. Cushman, lieutenant general, U.S. Army, (ret.), management consultant,: "C3I and the Commander: Responsibility and Accountability."

Richard D. DeLauer, executive vice president, TRW, Inc.: "A Major Contractor's View of C3I."

B.R. Inman, Deputy Director of Central Intelligence: "Issues in Intelligence."

James M. Osborne, formerly senior vice president, E-Systems, Inc.: "Meeting Military Needs for Intelligence Systems."

David C. Richardson, vice admiral, U.S. Navy (ret.); consultant, Defense Intelligence Review Panel, the Defense Science Board, and other panels: "The Uses of Intelligence."

Charles Rose, U.S. representative; chairman, Policy Group on Information and Computers: "Congress and C³I."

Charles W. Snodgrass, vice president, Financial Planning and Management, Electronic Data Systems Corp., formerly assistant secretary of the Air Force for financial management: "Funding C3I."

Guest Presentations, Spring 1980. [183 pages; December 1980/Incidental Paper: I-80-6] These first presentations in the series described C3I principally from the perspective of national policymaking in the executive branch.

William E. Colby, counsel, Reid & Priest, formerly Director of Central Intelligence: "The Developing Perspective of Intelligence."

B.R. Inman, director, National Security Agency and chief, Central Security Service: "Managing Intelligence for Effective Use."

William Odom, military assistant to the President's assistant for National Security Affairs: "C3I and Telecommunications at the Policy Level."

Lionel Olmer, Director of International Programs, Morotola, Inc; formerly acting executive secretary, President's Foreign Intelligence Advisory Board: "Watchdogging Intelligence."

Lee Paschall, consultant, formerly director, Defense Communications Agency and manager, National Communications System: "C3I and the National Military Command System."

Robert A. Rosenberg, policy assistant to the President for National Security Affairs, National Security Council Staff: "The Influence of Policy Making on C3I."

Raymond Tate, formerly Deputy Assistant Secretary of the Navy and Deputy Director of the National Security Agency: "Worldwide C3I and Telecommunications."

A.K. Wolgast, manager, Planning and Analysis Dept., EXXON International: "Oil Crisis Management."

To acquire the volumes in this series, please use the order form at the end of this volume or, for additional information, call or write to the Program on Information Resources Policy, Order Department, 200 Aiken Lab, Harvard University, Cambridge, MA 02138. (617) 495-4114.

Cable: PIRP Cambridge; Telex: 888 737 PIRP UD; Fax: 617-495-3338

	•	
	•	
		The second secon
	•	
		1

Table of Contents

Rae M. Huffstuttler
Three Mile Island: A Case Study in C ³ I for Crisis Management Richard L. Thornburgh21
Special Operations and Low Intensity Conflict: A Congressional Perspective James R. Locher, III
Strengthening the Chairman of the Joint Chiefs of Staff Robert T. Herres
The Rise in Low Intensity Conflict: A Theory John F. McLaughlin
Tailoring C³I Systems to Military Users Jerry O. Tuttle
The Evolution of Special Operations Forces Earl F. Lockwood
The Special Operations Command: Structure and Responsibilities Robert C. Kingston
Getting in Front of C ⁴ I ² Problems Frank J. Breth
Putting C ³ I Development in a Strategic and Operational Context Ruth M. Davis
Glossary

Glossary

AA	anti-aircraft	DIA	Defense Intelligence Agency
AID	Agency for International	DOD	Department of Defense
	Development	DSCS	Defense Satellite
AOR	area of responsibility		Communication System
APC	armored personnel carrier	ECCM	electronic counter counter-
ARVN	Army of the Republic of Vietnam	ECM	measures electronic countermeasures
ASAT	anti-satellite weapon	EHF	extremely high frequency
ATB	advanced technology bomber	EPA	Environmental Protection
AWACS	Airborne Warning and Control System	EUCOM	Agency European Command
BUD	Basic Underwater Demolitions	$\mathbf{E}\mathbf{W}$	electronic warfare
CIA	Central Intelligence Agency	FLTSAT	fleet satellite
CINC	commander in chief (of a unified or specified command)	GATT	General Agreement on Tariffs and Trade
CINCCENT	Commander in Chief,	HF	high frequency
	U.S. Central Command	HFAJ	high frequency antijam
CINCLANT	Commander in Chief,	HUMINT	human intelligence
	Atlantic Command	I&W	indications and warning
CINCLANTFLT	Commander in Chief, U.S. Atlantic Fleet	ICBM	intercontinental ballistic missile
CINCPAC	Commander in Chief.	ICS	intelligence community staff
CINCIAC	U.S. Pacific Command	INF	Intermediate Nuclear Forces
CINCSOC	Commander in Chief,	JCS	Joint Chiefs of Staff
	U.S. Special Operations Command	JCSE	Joint Communications Support Element
CINCSOUTH	Commander in Chief,	JTF	joint task force
	U.S. Southern Command	LEASAT	leased satellite
CNN	Cable News Network	LIC	low intensity conflict
COMINT	communications intelligence	MAGTF	Marine Air-Ground Task Force
COMSEC	communications security	MCEB	Military Communications and
CONUS	continental United States		Electronics Board
CORDS	Civil Operations and Revolutionary Development	NATO	North Atlantic Treaty Organization
	Support	NAV	navigation
DAMA	demand assignment multiple access	NCO	noncommissioned officer
DARPA	Defense Advanced Research Projects Agency	NORAD	North American Aerospace Defense Command
DCI	Director of Central Intelligence	NRC	Nuclear Regulatory Commission
DEA	Drug Enforcement Agency	NIC A	
DEA	Diag Emolecment Agency	NSA	National Security Agency

NSC	National Security Council	SEAL	Sea-Air-Land team member
NTM	national technical means		(U.S. Navy)
OSD	Office of the Secretary of	SF	special forces
	Defense	SHF	super high frequency
OSS	Office of Strategic Services	SIGINT	signals intelligence
OTPE	operational propulsion examination	SLBM	submarine-launched ballistic
PACFLEET	U.S. Pacific Fleet		missile
PACOM	U.S. Pacific Command	SOCOM	Special Operations Command
POLMIL	political/military educated and	SOF	Special Operations Forces
	oriented	SOG	Special Operations Group
POM	Program Objective Memorandum	SOPAG	Special Operations Policy Advisory Group
REDCOM	U.S. Readiness Command	SORTS	status of resources and training
REFTRA	refresher training		systems
RF	radio frequency	Spetsnaz	Soviet special operations forces
SACEUR	Supreme Allied Commander, Europe	SPRAA	Strategic Plans and Resource Analysis Agency
SACSA	Special Assistant for Counter- insurgency and Special Activities	START	Strategic Arms Reduction Talks
SALT ·	Strategic Arms Limitation	STU	secure telephone unit
07121	Treaty	TAC	Tactical Air Command
SAM SAS	surface-to-air missile Special Air Service	TENCAP	tactical exploitation of national capabilities
SAS	(United Kingdom)	TRADOC	U.S. Training and Doctrine
SATCOM	satellite communications		Command
SDI	Strategic Defense Initiative	UHF	ultra high frequency
SDIO	Strategic Defense Initiative Office	USSOCOM	U.S. Special Operations Command
SDV	SEAL delivery vehicle	VHF	very high frequency

To order additional volumes

Proceedings of the Harvard Seminar on Intelligence, Command, and Control

John F. Kennedy School of Government Harvard University

The Program on Information Resources Policy is pleased to announce the 1988 volume of the *Proceedings of the Harvard Seminar on Intelligence, Command, and Control*, making available a eight-volume series that chronicles the state of the art and recent history of C³I. The attached pages outline each year's presentations.

each years present	auons.					
The series can be o are available upon		irety or by volum	e. Bulk o	rder prices a	nd photocopy	license terms
\$	\$50.00 for single volumes, \$350.00 for the eight-volume series. \$30.00 per volume for government agencies, universities, and not-for-profit libraries \$210 for the series.					
-	Add \$1.00 for postage and handling for the first volume and \$.50 for each additional volume.					
Orders must be p	repaid. Make c	hecks payable to	Harvard (Iniversity.		
Please send the fo	llowing volume	s to:				
Name _ Company _ Address _ Phone _						
Payment and p	ostage enclosed		TOTAL			
				Quantity	Price	Amount
Please send the eig	g ht- volume seri	ies:				
Please send indivi	dual volumes:					
1988 Guest Present	tations	I-89-1				
1987 Guest Present	tations	I-88-1				
1986 Guest Present	tations	I-87-1				
1985 Guest Present	tations	I-86-1				
1984 Guest Present	tations	I-85-2				

Send to:

1983 (Seminar was not held) 1982 Guest Presentations

1981 Guest Presentations 1980 Guest Presentations

Program on Information Resources Policy, Order Department,

I-82-3 I-81-9

I-80-6

200 Aiken Lab, Harvard University Cambridge, MA 02138 (617) 495-4114 Cable: PIRP Cambridge; Telex: 888 737 PIRP UD; Fax: 617-495-3338.

		*
		•
		4